

Difficulty on divide knot presentation of Type 8 knots in Berge's lens space surgery

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Four Dimensional Topology

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Osaka University (Online via zoom)

- 1 Introduction
- 2 Divide knots
- 3 Berge knots are divide knots
- 4 Results (Divide presentation of Type 8 knots)

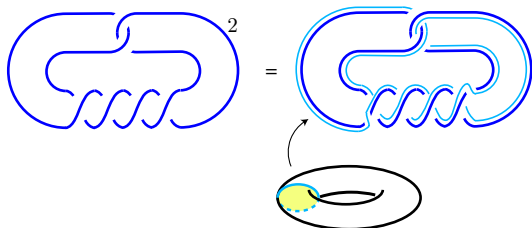
§1. Dehn surgery

Dehn surgery = Cut and paste of a solid torus.

$$(K; p) := (S^3 \setminus \text{open nbd}N(K)) \cup_{\partial} \text{Solid torus.}$$

Coefficient (in \mathbf{Z}) “framing” = a *parallel* curve ($\subset \partial N(K)$) of K ,
or the linking number.

Solid torus is reglued such as “the meridian comes to the parallel”



Theorem ([Lickorish '62])

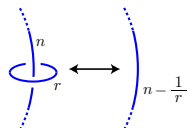
Any closed connected oriented 3-manifold M is obtained by a framed link (L, \mathbf{p}) in S^3 , ie, $M = (L; \mathbf{p})$,

$$(L, \mathbf{p}) = (K_1, p_1) \cup (K_2, p_2) \cup \cdots \cup (K_n, p_n).$$

Lens space $L(p, q)$ ($p > q > 0$)

$$\frac{p}{q} = a_1 - \frac{1}{a_2 - \frac{1}{a_3 - \cdots - \frac{1}{a_n}}} \quad (a_i > 1)$$

$$-\frac{p}{q} \text{ (circle)} = \text{ (circles with framings } -a_1, -a_2, -a_3, \dots, -a_n \text{)}$$

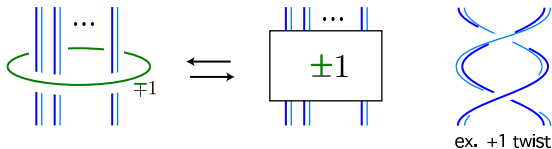


For $n \in \mathbf{Z}, r \in \mathbf{Q}$

Theorem (Kirby-Rolfsen moves (Fenn-Rourke's ver.))

The 3-manifolds are homeo. $(L; \mathbf{p}) \cong (L'; \mathbf{p}')$

\Leftrightarrow framed links $(L, \mathbf{p}), (L', \mathbf{p}')$ are moved to each other by isotopy and the following

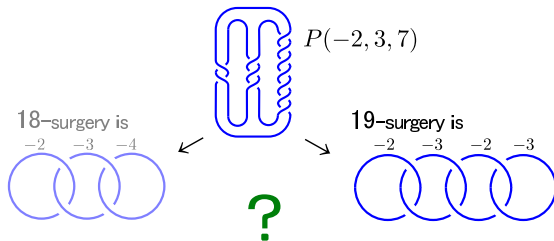


Note: This (with a suitable sign) is **blow-down /up**, related to resolution of the singularity.

Kirby diagrams also present 4-manifolds (2-handle attachings).

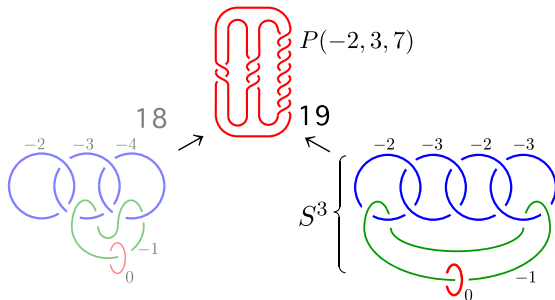
Starting example of lens space surgery [Fintushel–Stern '80]

Which knot yields a lens space by Dehn surgery?



What is **the best method** to prove it?

My answer ([Y '05]) :



blue \cup green = S^3 , and red becomes the knot $P(-2, 3, 7)$.
 The knot is constructed by seq. of full-twists = blow-downs.

In 2000, I heard A'Campo's divide theory (from Singularity theory)

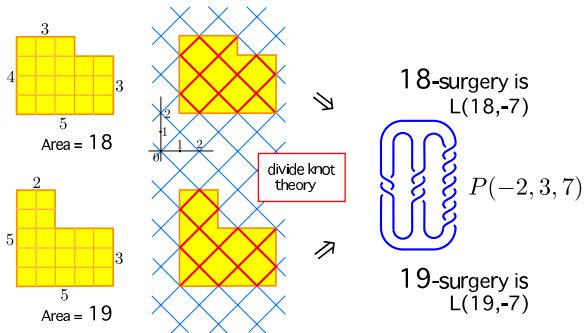
a plane Curve P

\Rightarrow

a Link $L(P)$ in S^3

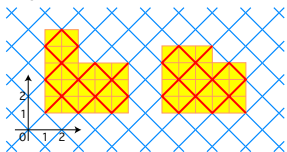
A'Campo's divide knots [75]

Typical example of my results in [Y '05~'20].

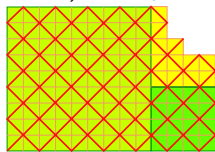


Examples. These examples presents knots of lens space surgery.

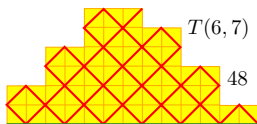
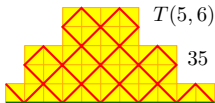
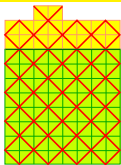
· L-shaped curve **I~VII**



· (general.) L-shaped curve **IX~XII**



· **T-shaped curve VIII**



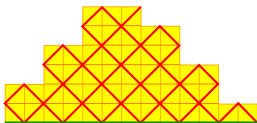
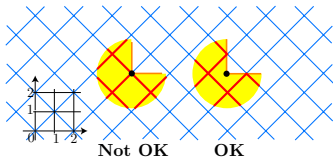
Here, **green** means *adding square(s)*.

We focus on curves cut from *the lattice*

Assume (on the regions, a union of rectangles)

- Vertices are in $\mathbf{Z}^2 \subset \mathbf{R}^2$,
- Edges of the region are *vertical* or *horizontal*,
- Every concave point is at **odd** point, and
- The curve P is an immersed arc ($\Leftrightarrow L(P)$ is a knot).

where we call a point $(m, n) \in \mathbf{Z}^2$ **odd** if $m + n \equiv 1 \pmod{2}$



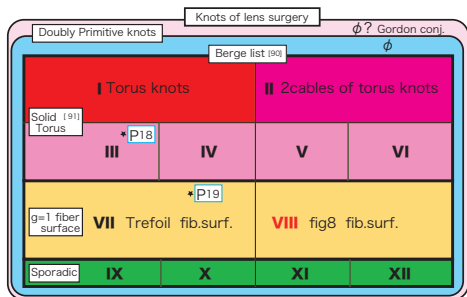
Berge's list ('90) Berge classified and made a list of known lens space surgery.

Theorem (not published)

Every knot in Berge's list of lens space surgery is a divide knot.

Berge's list

Berge's list consists of
3 Subfamilies (1)(2)(3)
 and of **12 Types**.



Type VIII is difficult!

By another approach (Heegaard Floer homology, \mathbb{C} -links by Rudolph \dots),

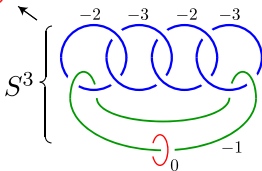
Theorem (Hedden '11)

Any knots of lens space surgery is intersection of an *algebraic surface* and B^4 in \mathbb{C}^2 .

Theorem (Greene '13 : Lens space Realization Problem)

Lens spaces of lens space surgeries are classified.
Berge's list is complete, up to Heegaard Floer homology.

$(P; 19)$



cf. Donaldson's diagonalization.

$$\begin{bmatrix} 1 & -1 & 0 & 0 & 0 \\ 0 & -1 & -1 & 0 & 1 \\ 0 & 0 & -1 & 1 & 0 \\ 1 & 1 & -1 & 0 & 0 \\ \mathbf{1} & \mathbf{1} & \mathbf{2} & \mathbf{2} & \mathbf{3} \end{bmatrix}$$

§2. A'Campo's divide knots

Original construction.

a generic plane Curve P

\Rightarrow

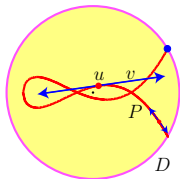
a Link $L(P)$ in S^3

A'Campo's divide knots

Let P be a generic (no self-tangency) curve in the unit disk D ,

$$S^3 = \{(u, v) \in TD \mid u \in D, v \in T_u D, |u|^2 + |v|^2 = 1\}$$

$$L(P) := \{(u, v) \in TD \mid u \in P, v \in T_u P, |u|^2 + |v|^2 = 1\} \subset S^3.$$



· A strong-involution $\iota : (u, v) \mapsto (u, -v)$.

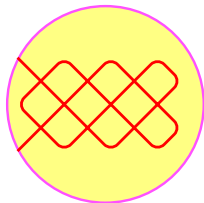
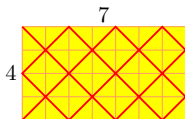
$$y^2 = x(x^2 - \varepsilon)(x^2 - 2\varepsilon)$$

Ex. Torus links ['02 Goda-Hirasawa-Y, (Gusein-Zade, etc.)]

$P = B(p, q)$, the $p \times q$ **rectangle billiard curve**
(PL curve with slope ± 1 in the rectangle.)

$\Rightarrow L(P)$ is $T(p, q)$

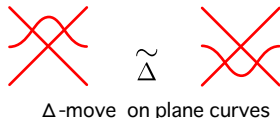
ex. $(p, q) = (7, 4)$



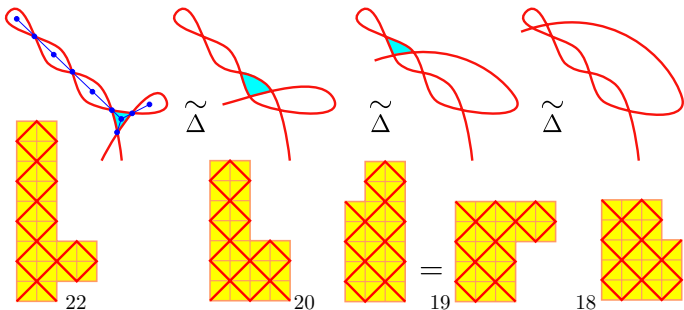
If $\gcd(p, q) = 1$, then $B(p, q)$ has $\frac{(p-1)(q-1)}{2}$ double points.

Basics on Divide knots [N.A'Campo, L.Rudolph,..]

- (0) $L(P)$ is a **knot** ($\sharp L(P) = 1$) $\Leftrightarrow P$ is an immersed **arc**.
- (1) The **genus** of knot $L(P) = \sharp$ **double points** of P .
- (2) For knots $L(P_1)$ and $L(P_2)$, $\text{lk}(L(P_1), L(P_2)) = \sharp(P_1 \cap P_2)$.
- (3) Every divide knot $L(P)$ is **fibered**.
- (4) Any divide knot is a closure of **strongly quasi-positive** braid.
ie, product of some σ_{ij} .
- (5) $P_1 \sim P_2$ by **Δ -move** $\Rightarrow L(P_1) = L(P_2)$.



These curves present the same knot $P(-2, 3, 7)$
 (Thanks to Hirasawa)



19 and 18 are the coefficients of lens space surgery.

[’01 Couture-Perron] proved a viuaallization.

$$\boxed{\text{an OMD } P} \Rightarrow \boxed{\text{a knot } L(P) \text{ in } S^3}$$

Braid presentation of $L(P)$

“Ordered Morse divide (OMD)” [Couture]



A divide is called *OMD*
if (w.r.t at least one direction) max/min points are in the
same level, up to isotopy.



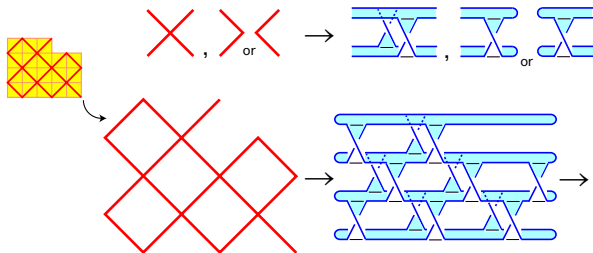
⇐ This is a Non-OMD.
(w.r.t horizontal nor vertical direction)

[’01 Couture-Perron] proved a visualized version

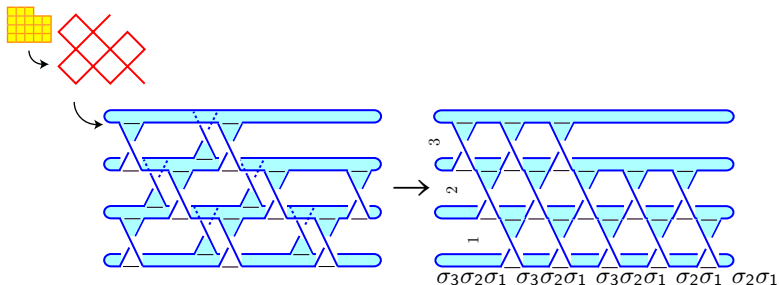
$$\boxed{\text{an OMD } P} \Rightarrow \boxed{\text{a knot } L(P) \text{ in } S^3}$$

Braid presentation of $L(P)$

The braid presentation of $L(P)$.

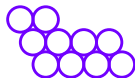


We get the fiber surface of $L(P)$. genus = 5.



The result is $W_4^3 W_3^2$, where

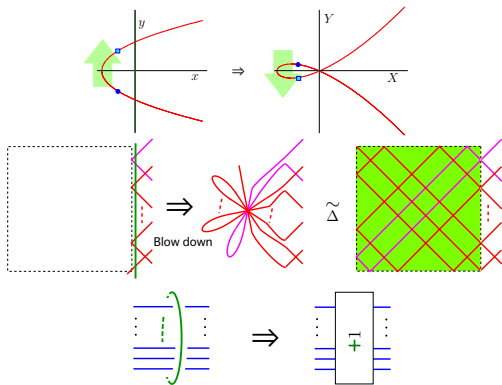
$$W_n := \sigma_{n-1} \sigma_{n-2} \cdots \sigma_2 \sigma_1 \quad \text{"1/n twist"}$$



Simple, only for L-shaped cases.

Lemma (Υ)

“Adding a square” corresponds to a right-handed full-twist
 = **blow-down** = coord. transform: $(x, y) = (X, Y/X)$.
 (ex. $y^2 = x + \epsilon$ becomes $Y^2 = X^2(X + \epsilon)$)



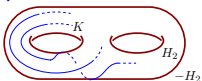
§3. Berge knots are divide knots

Which $(K; p)$ is a lens space?

Berge's doubly-primitive knots ['90]

A knot K in the Heegaard surface Σ_2 is **doubly-primitive** iff

$K_{\#}$ (as in π_1) is a generator
in both $\pi_1(H_2)$ and $\pi_1(-H_2)$.



Such a knot K with the surface slope (coeff.) always yields a lens space.



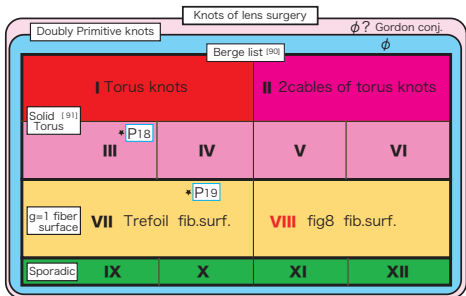
Berge's list

Berge classified and made a list of such knots.

His list consists of

3 Subfamilies (1)(2)(3)

and of **12 Types**.



Theorem (Y '07~'20)

Every knot in Berge's list, up to mirror image, is a *divide knot*.
 Except Type **VIII**, it is presented by an (a general.) *L-shaped curve*.

Subfamily (1). [Y '09].

Every knot (up to mirror image)
 in the subfamily (1), Type **I, II, III ... VI**,
 is a *divide knot*, is presented by an *L-shaped curve*.



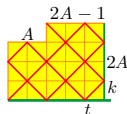
$$\text{Area}(L) - \text{coeff.} = 0 \text{ or } 1.$$



ex. Type **III** knots are parametrized by

$$\delta, \varepsilon \in \{\pm 1\}, A(\geq 2), k(\geq 0) \text{ and } t \in \mathbf{Z}.$$

$P(-2, 3, 7)$ (with 18-surgery) is $k_{\text{III}}(-1, +1, 2, 0, 0)$,
 ie, $A = 2, k = t = 0$.



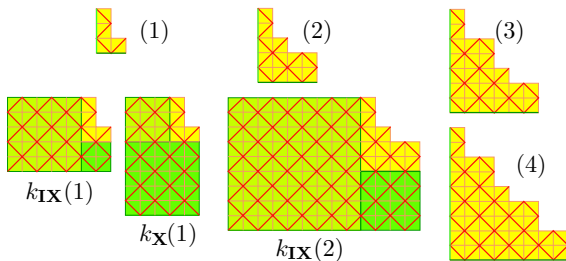
Subfamily (3). [Y '20] Type IX and X

The knot $k_{\text{IX}}(j)$ and $k_{\text{X}}(j)$, ($j \in \mathbf{Z}$)



- is a **divide knot**, • is presented by a general. **L-shaped curve**.
- is obtained from **L-shaped** $T(j, 2j + 1)$ by **full-twists twice**.

for Type**IX**, in order (bottom, left), $\rho = 22j^2 + 9j + 1$,
 for Type**X**, in order (left, bottom), $\rho = 22j^2 + 13j + 2$.



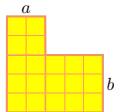
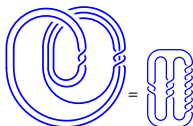
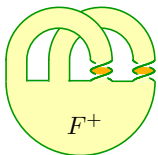
Subfamily (2-1). [Y'05] TypeVII

Let F^+ be the fiber surface of the left-handed trefoil.

- [Y'10] Any TypeVII knot is $k^+(a, b)$ in F^+ with a positive coprime (a, b) s.t. $0 < a < b$.

Its p -surgery is $L(p, q)$. ($p = a^2 + ab + b^2, q = -(a/b)^2 \pmod p$)

- is a **divide knot**, • is presented by an **L-shaped curve**.



- $k^+(2, 3)$ is $P(-2, 3, 7)$. $2^2 + 2 \cdot 3 + 3^2 = 19$.

Theorem (2-2). Type VIII

Let F^- be the fiber surface of fig8 knot.

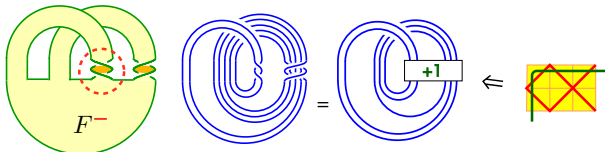
- [Y'10] Any Type VIII knot is $k^-(a, b)$,
with a positive coprime (a, b) s.t. $0 < a < b/2$.

Its p -surgery is $L(p, q)$. ($p = -a^2 + ab + b^2$, $q = -(a/b)^2 \pmod p$)

- is a **divide knot** **Q. What type of curves?**



- It is known $k^-(a, b) = k^-(b - a, b)$. [’05 Baker]’s deformation.



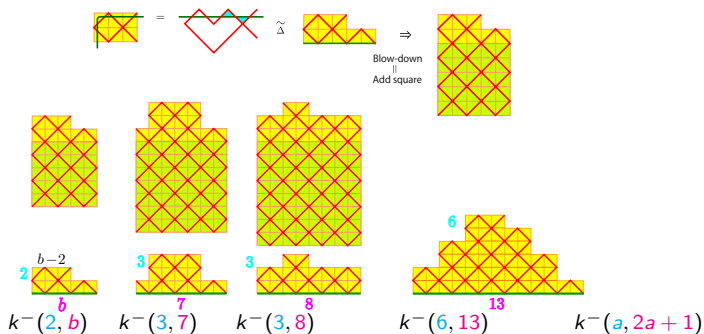
- $k^-(2, 5) \quad -2^2 + 2 \cdot 5 + 5^2 = 31$.

On divide knots, **negative twists** are hard to treat with.

· $k^-(a, b)$ is a **divide knot**. The plane curve is constructed by a **blow-down** from $B(a, b - a)$ along *the broken curve*.

ex. Blow-down from $T(2, 3)$ to $k^-(2, 5)$.

Couture's move



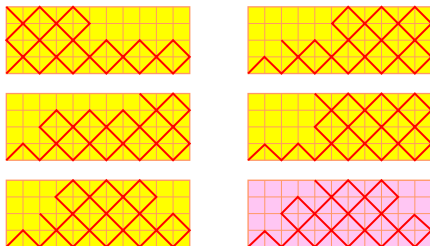
Conj. $k^-(a, b)$ is presented by a blow down along *the bottom edge* from a **T-shaped curve** of height a , width b and presenting $T(a, b - a)$.

Conj. $k^-(a, b)$ is presented by a blow down along the bottom edge from a **T-shaped curve** of height a , width b presenting $T(a, b - a)$.

Fact (Main Results [Y])

There exist only **five** **T-shaped curves** of height 4, width 11 and presenting a knot with $g = 9 = g(T(4, 7))$.

No one is $T(4, 7)$, except the trivial one. \Rightarrow **Conj.** is false.



Final Remarks.

Question (1)

Decide the *best* divide presentation, for every knots (Type **VIII**) in Berge's list of lens space surgery.

Question (2)

How about the other exceptional surgeries?

Question (3) (by J.Greene)

Restricted to divide knots, is Berge's conj. true?

Question (4)

Plane curves with cusp as divide [Sugawara-Yoshinaga]

謝辞

I would like to thank to

Lens space surgery

合田洋 先生、茂手木公彦 先生、寺垣内政一 先生、Ken Baker 氏、

Divide knots

N. A'Campo 先生、石川昌治 氏、川村友美 氏、平澤美可三 氏、
O. Couture 氏、

Thank you very much!