

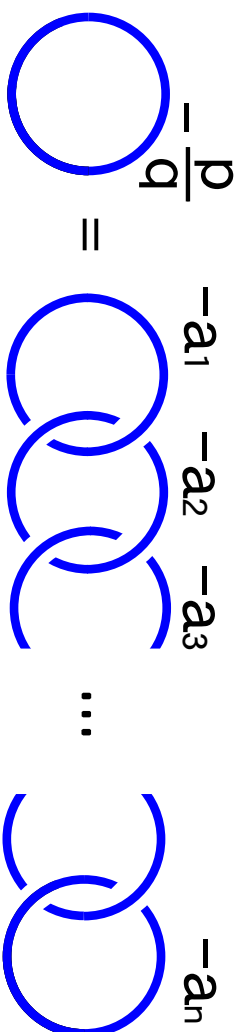
「Four Dimensional Topology」 '08 Feb. 7, Hiroshima Univ.

**Torus knots, Generalized rational blow-down,  
and lens space surgery of Type 7,8**

Yuichi YAMADA (Univ. Electro-Communications)

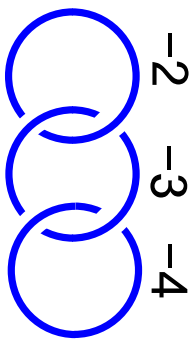
# Framed Links for Lens $L(p, q)$

$$\frac{p}{q} = a_1 \frac{1}{1} \frac{1}{1} \frac{1}{1} \frac{1}{1} \dots \frac{1}{1} \quad (a_i > 1)$$

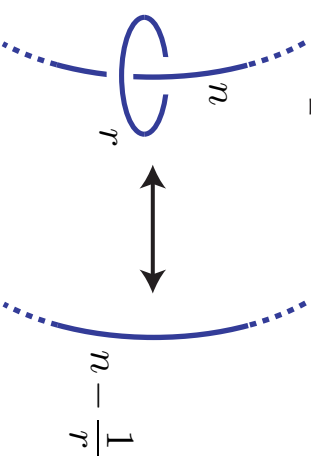


$L(18, 11)$  ( $= L(18, 5)$ )

$$\frac{18}{11} = 2 - \frac{1}{1}, \quad \frac{18}{5} = 4 - \frac{1}{1}$$



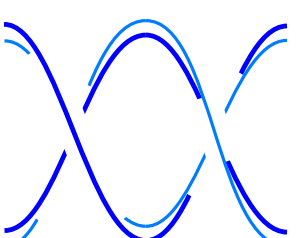
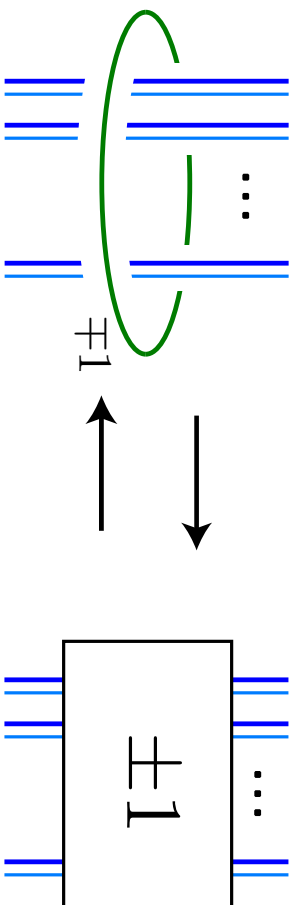
For  $n \in \mathbf{Z}, r \in \mathbf{Q}$



**Thm. Kirby Calculus** ([Fenn-Rourke] ver.)

Framed links  $L_1, L_2$  are moved to each other as below and isotopy,

$$\Leftrightarrow M^3(L_1) \cong M^3(L_2).$$

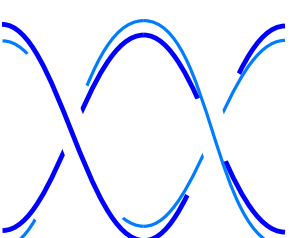
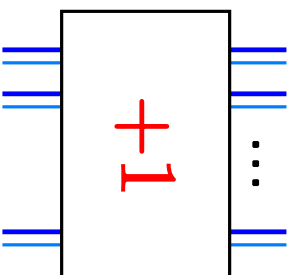
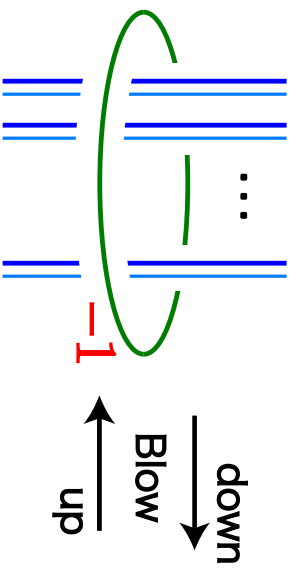


ex. +1 twist

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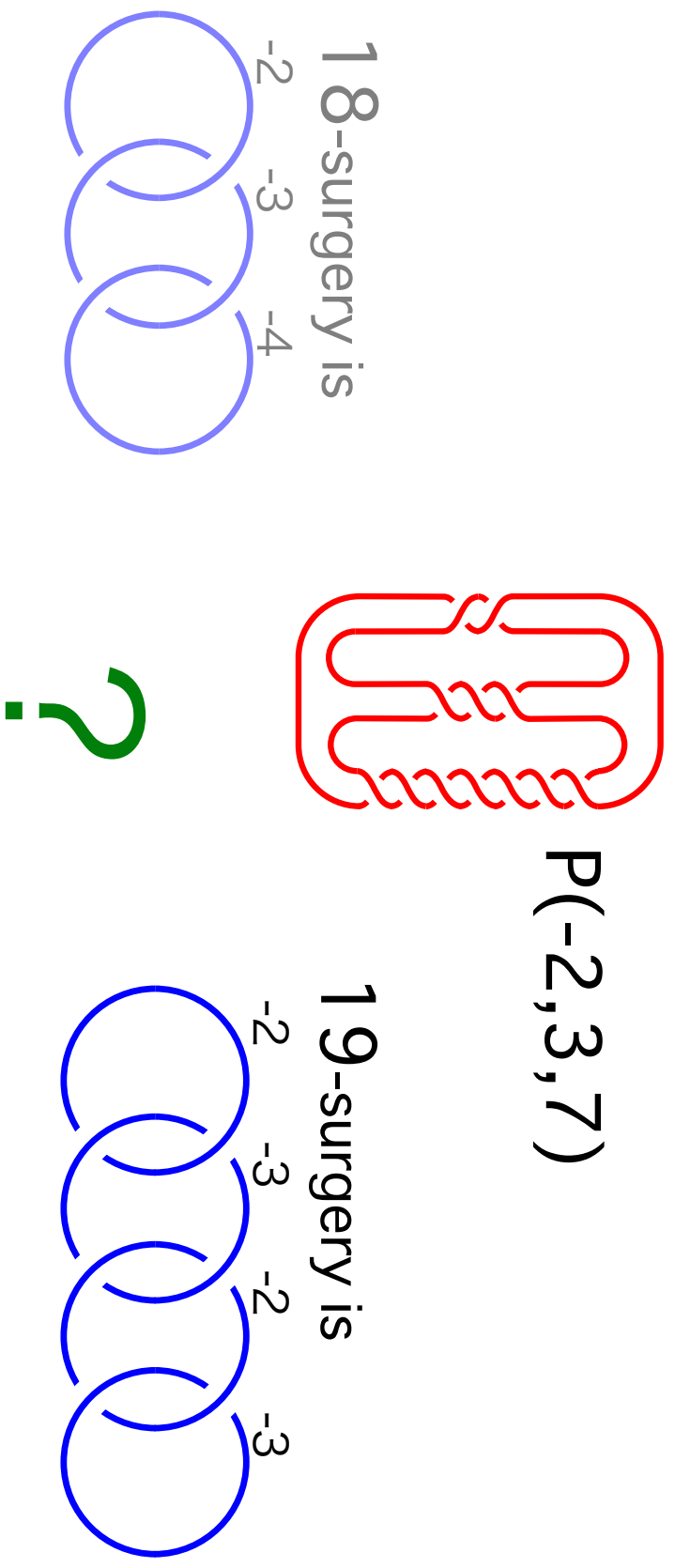


ex.  $+1$  twist

That with this sign is **Blow-up/down**, related to resolution of singularity.

# Subject 1. : Lens space surgery

Unexpected Example [Fintushel-Stern'80]



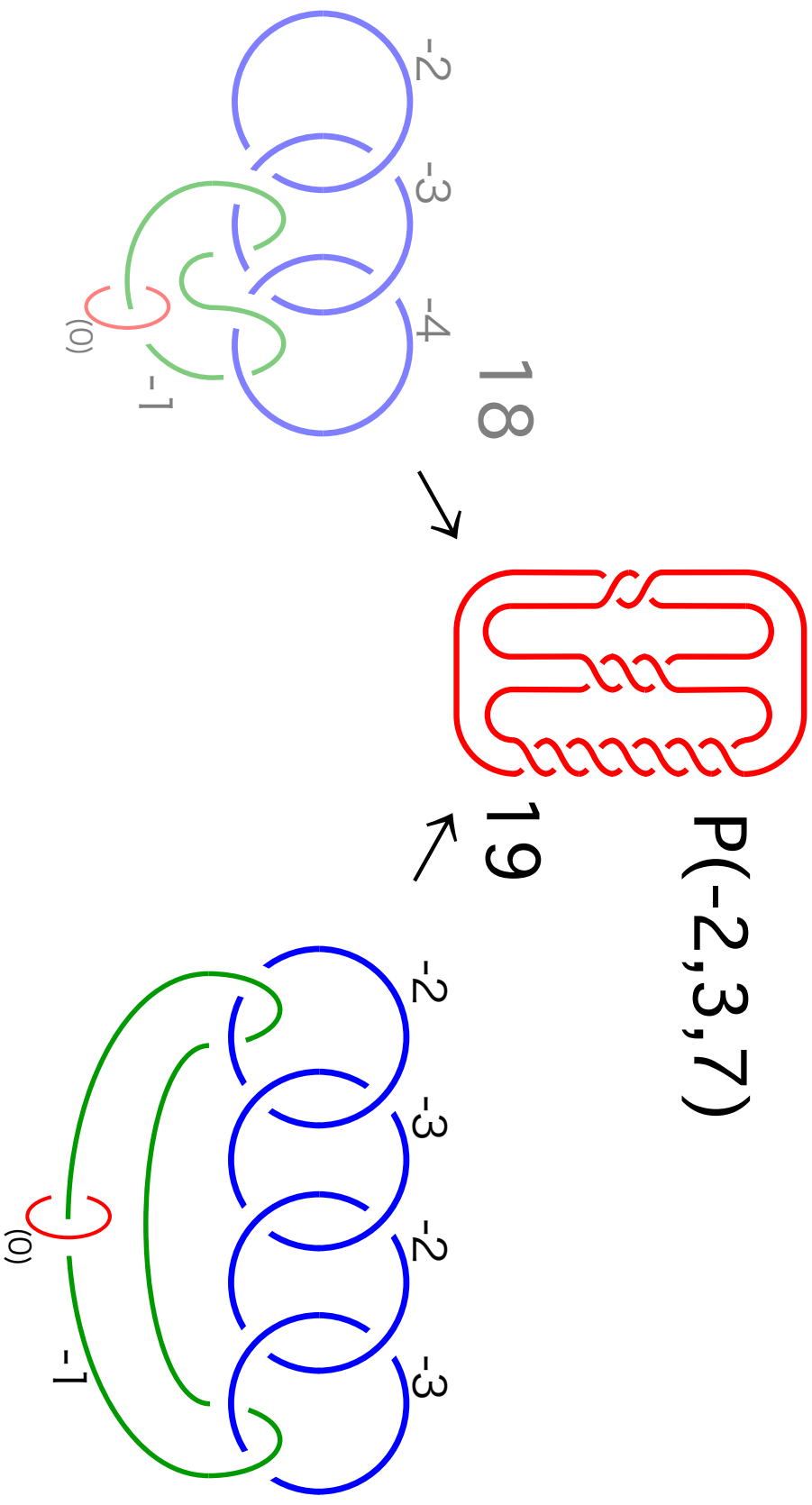
“Why” and “How many” do such examples exist?

⇒ lens space surgery.

My research What is *the best Kirby calc.* to prove it?

One answer ( $[Y]$ ):

blue  $\cup$  green =  $S^3$ , and red becomes the knot.



Only Blow downs! ( $\Rightarrow$  Resolution of singularity).

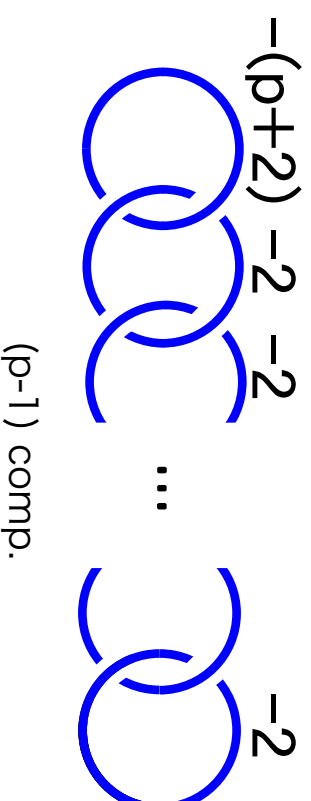
## Subject 2. : generalized Rational blow down (gRBD)

[Fintushel–Stern, 1997]

Log-transform. (on an elliptic surface) can be realized by  $(p - 1)$  blow-ups and Rational blow down:

Surgery along  $L(p^2, p - 1)$ .

cutting the plumbed negative 4-manifold  $C_p$ , and  
paste a rational homology ball  $B_p$ . ( $\pi_1(B_p) = \mathbf{Z}/p\mathbf{Z}$ )



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[J.Park,1997] Surgery along  $L(p^2, pq - 1)$ .  $\gcd(p, q) = 1$ .

cutting the plumbed negative 4-manifold  $C_{p,q}$ , and  
paste a rational homology ball  $B_{p,q}$

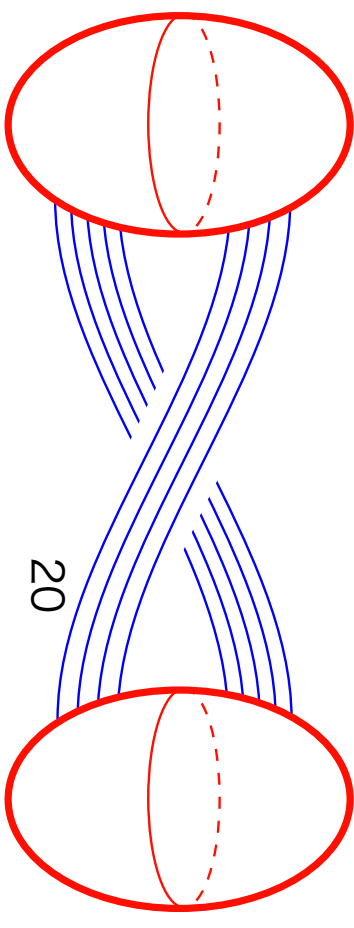
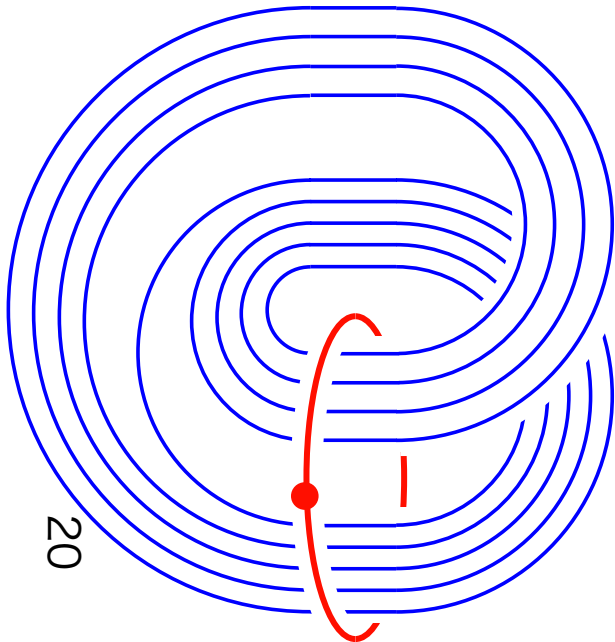
$\Rightarrow$  Many *exotic* 4-manifolds with “low  $\beta_2$ ” are constructed.

My research I want to see *the best Kirby diagram* of  $B_{p,q}$ ,

following the construction [Casson–Harer, 1981] by Kirby calc.



Ex.  $(p, q) = (9, 2) \Leftrightarrow (a, b) = (4, 5)$  by Euclidean Algorithm)



Rational homology ball  $X_{9,2}$

The blue component is  $T(4, 5)$ .

$X_{9,2}$  satisfies

$$\pi_1(X_{9,2}) \cong \mathbf{Z}/9\mathbf{Z}, \quad \partial X_{9,2} \cong L(g^2, 9 \cdot 2 - 1).$$

$X_{p,1} = B_p$ , but I do not show  $X_{p,q} = \text{Casson-Harer's } B_{p,q}$ .

## Today Talk: Main results

- Kirby calc. of Type 7,8 ([Y '06])
- Kirby diagram of gRB  $X_{p,q}$  ([Y (Arxiv0708)])

Two subjects :

[lens space surgery of Type 7,8](#) and [generalized rational ball](#)  
are related to each other,

and also related to Torus knots, and Euclidean Algorithm.

## Today Talk: Main results

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Two subjects :

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## Euclidean Algorithm.

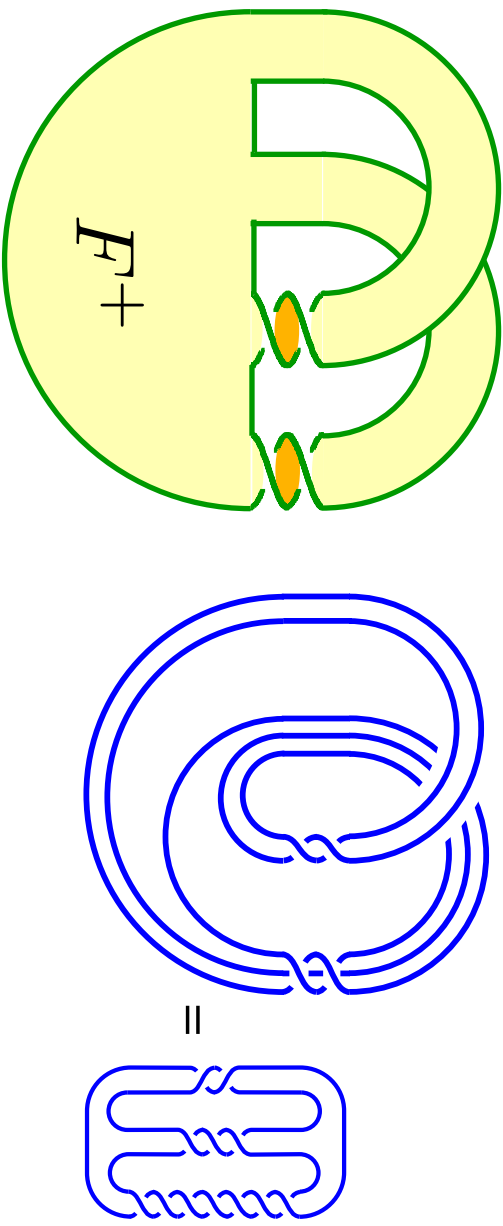
$$(4, 5) \rightarrow_R (4, 1) \rightarrow_L (3, 1) \rightarrow_L (2, 1) \rightarrow_L (1, 1)$$

That is the resolution of the singularity  $z^5 - w^4 = 0$ .

**Subject 1 (detail) : Type 7** ([Berge], see also [Y])

A knot  $k^+(a, b)$  in a fiber surface  $F^+$  of left-handed trefoil with  $p$ -framing is  $L(p, q)$ . ( $p = a^2 + ab + b^2$ ,  $q = -(a/b)^2 \pmod p$ )

- $k^+(2, 3)$  is  $Pr(-2, 3, 7)$ .  $2^2 + 2 \cdot 3 + 3^2 = 19$ .

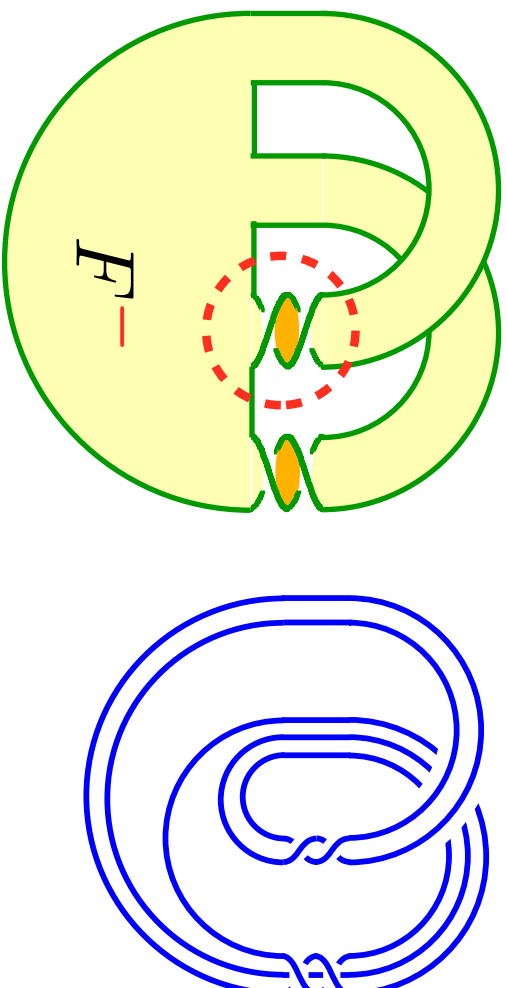


$k^+(a, b)$  is obtained from torus knot  $T(a, b)$  by two + full-twists.

**Subject 1 (detail) : Type 8** ([Berge], see also [Y])

A knot  $k^-(a, b)$  in a fiber surface  $F^-$  of figure8 with  $p$ -framing is  $L(p, q)$ .  $(p = -a^2 + ab + b^2, q = -(a/b)^2 \bmod p)$

- $k^-(2, 3)$  is  $T(3, 4)$  (unfortunately).  $-2^2 + 2 \cdot 3 + 3^2 = 11$ .



$k^-(a, b)$  is obtained from torus knot  $T(a, b)$  by two full-twists,  $+$  and  $-$ .

## Subject 1 (detail) :

Type 7,8 is the **second** family of Berge's Classification of lens space surgeries.

(1) Dehn surgery that keeps Solid torus (Type 1..6 [Berge'91])

Type 1 =  $\{ (ab \pm 1)\text{-framed } T(a, b) \text{ is } L(ab \pm 1, -a^2) \}$ .

(2) Type 7, 8 (knots in genus1 fiber surface)

(3) Sporadic examples (cf. M. Tange's work)

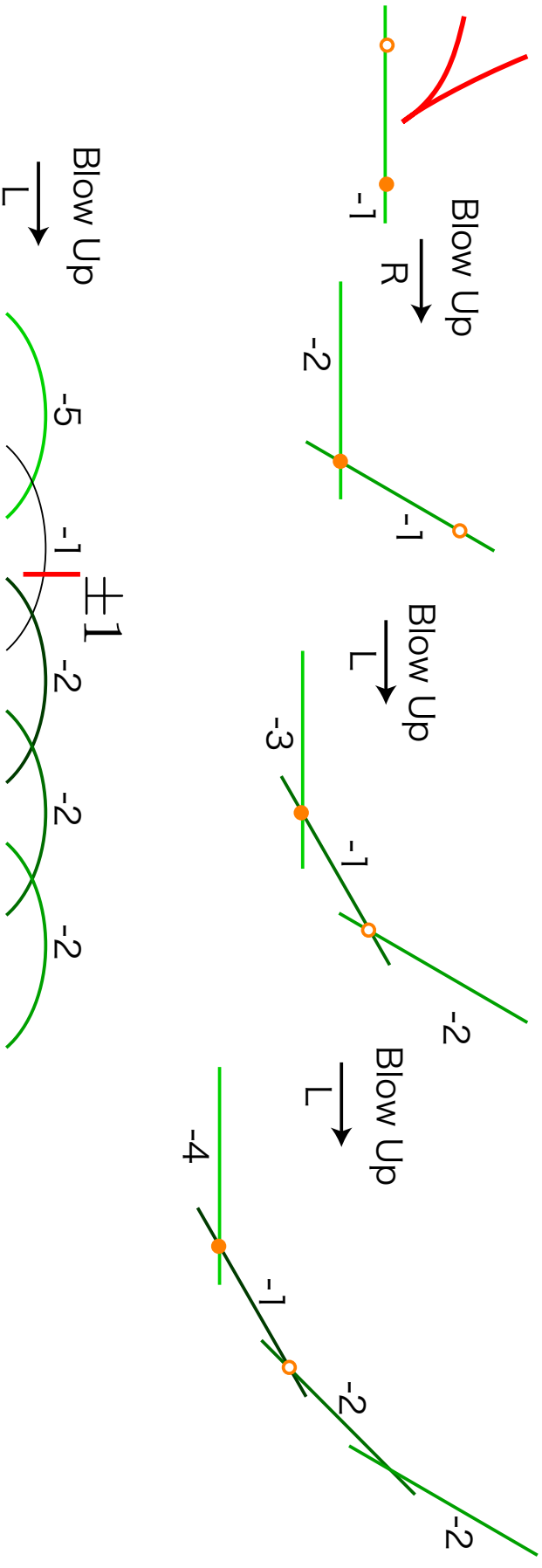
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Dehn surgery  $ab$ -framed  $T(a, b)$  is reducible :  $-(L(a, b)\#L(b, a))$ .

**Tange's work** : Some families of lens space surgeries in  $\Sigma(2, 3, *)$  look like “sporadic examples” in  $S^3$ .

[BASE] “Unknot  $T(4, 5)$  by twist” = “Resolution of  $z^5 - w^4 = 0$ ”.

$$(4, 5) \rightarrow R (4, 1) \rightarrow L (3, 1) \rightarrow L (2, 1) \rightarrow L (1, 1)$$

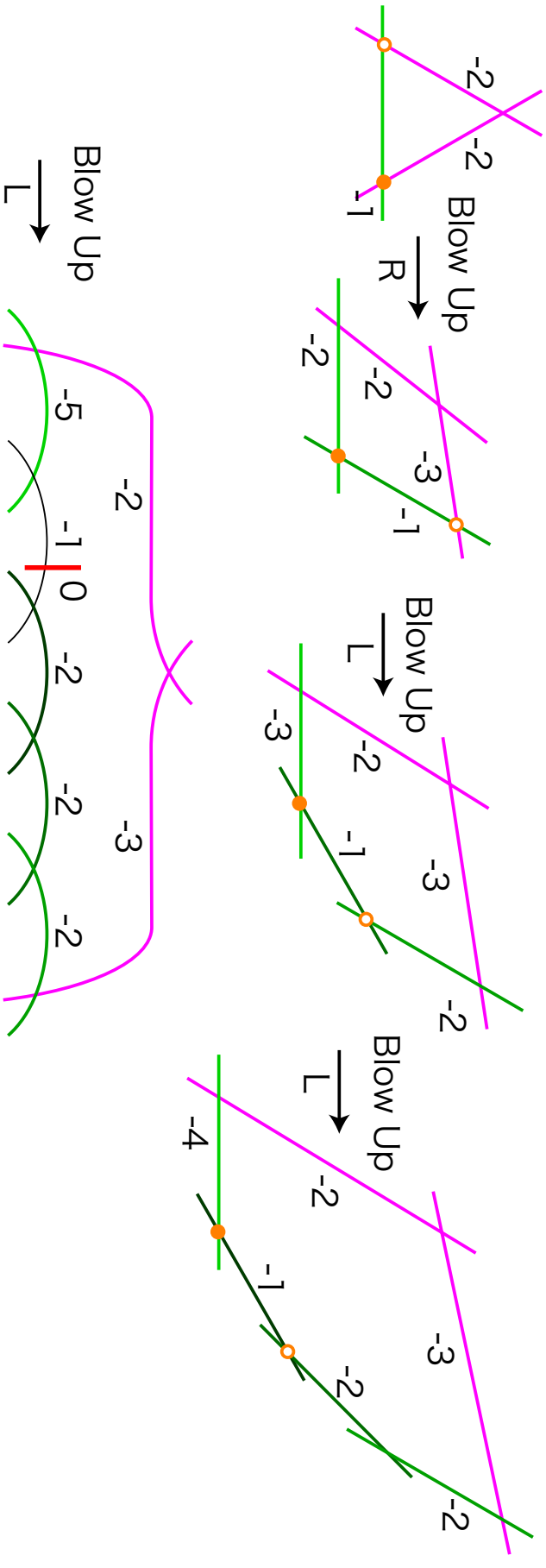


$$[5, 2, 2, 2, 2] = \frac{21}{5}, \quad [5, 0, 2, 2, 2] = [7, 2, 2] = \frac{19}{3}$$

$$(T(4, 5); 21) = L(21, 5), \quad (T(4, 5); 19) = L(19, 3)$$

**Proof.**  $k^+(4, 5)$  is obtained from  $T(4, 5)$  by two components (pink).

$$(4, 5) \rightarrow R (4, 1) \rightarrow L (3, 1) \rightarrow L (2, 1) \rightarrow L (1, 1)$$



$$[5, 2, 3, 2, 2, 2] = \frac{61}{14}$$

$$(k^+(4, 5); 61) = L(61, 14). \quad (61 = 4^2 + 4 \cdot 5 + 5^2)$$



## Subject 2 (detail) : Euclidean Algorithm

For given pair  $(p, q)$  with  $\gcd(p, q) = 1$  and  $p > q$ , we get  $(a, b)$  as follows:

$$(p - q, q) \rightarrow \dots (\rightarrow R \text{ or } \rightarrow L) \dots \rightarrow (1, 1)$$

Ex.  $(p, q) = (9, 2)$ :

$$(7, 2) \rightarrow_L (5, 2) \rightarrow_L (3, 2) \rightarrow_L (1, 2) \rightarrow_R (1, 1)$$

Note that  $a + b = p$ . If  $q = 1$ , then  $(a, b) = (p - 1, 1)$

Then following Kirby diagram  $T(a, b) \cup U$  (We call it  $X_{p,q}$ .)

$(T(a, b)$  is  $ab$ -framed, and  $U$  is a 1-handle)  
represents...

## Subject 2 (detail) : Euclidean Algorithm

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$$\begin{aligned} (p - q, q) &\rightarrow \cdots (\rightarrow R \text{ or } \rightarrow L) \cdots \rightarrow (1, 1) \\ (1, 1) &\rightarrow \cdots (\rightarrow R \text{ or } \rightarrow L) \cdots \rightarrow (a, b) \end{aligned}$$

Ex.  $(p, q) = (9, 2)$

$$\begin{aligned} (7, 2) &\rightarrow_L (5, 2) \rightarrow_L (3, 2) \rightarrow_L (1, 2) \rightarrow_R (1, 1) \\ (1, 1) &\rightarrow_L (2, 1) \rightarrow_L (3, 1) \rightarrow_L (4, 1) \rightarrow_R (4, 5) \end{aligned}$$

Note that  $a + b = p$ . If  $q = 1$ , then  $(a, b) = (p - 1, 1)$

Then following Kirby diagram  $\mathcal{T}(a, b) \cup U$  (We call it  $X_{p,q}$ .)

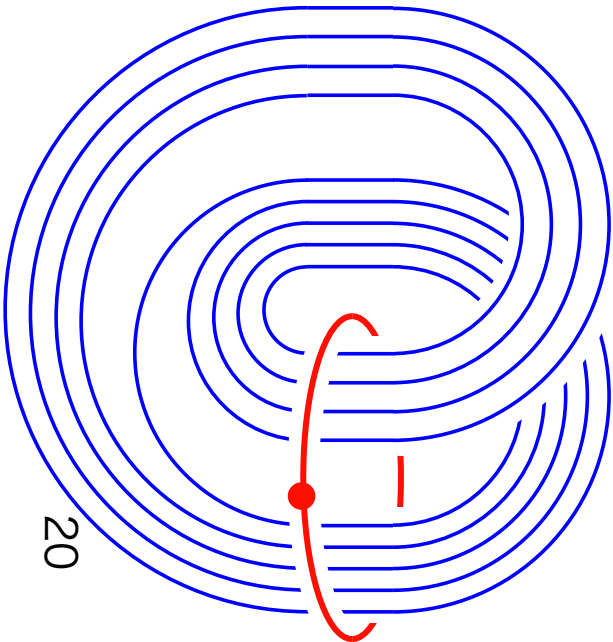
$(\mathcal{T}(a, b)$  is  $ab$ -framed, and  $U$  is a 1-handle)  
represents...

Rational homology ball  $X_{p,q}$  satisfying

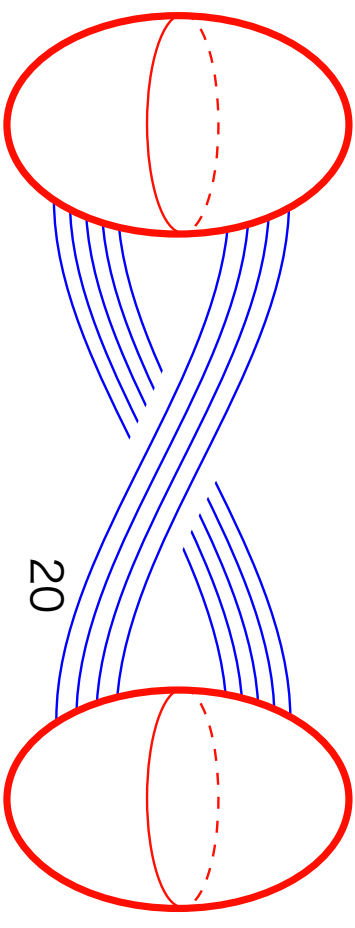
$$\pi_1(X_{p,q}) \cong \mathbf{Z}/p\mathbf{Z}, \quad \partial X_{p,q} \cong L(p^2, pq - 1).$$

required condition for generalized rational blow down.

Ex.  $(p, q) = (9, 2)$ ,  $(\Rightarrow (a, b) = (4, 5)$  by Euclidean Algorithm)



20



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Rational homology ball  $X_{9,2}$

$X_{p,1} = B_p$ , but I do not show  $X_{p,q} = \text{Casson-Harer's } B_{p,q}$ .

Dr. [K. Yasui](#) informed me:

Even if  $X_{p,q}$  is not diffeo. to  $B_{p,q}$ , calculus on Seiberg-Witten class on the result of gRBD on  $M^4$  is same (in some cases).

The resulting 4-manifolds are homeo. and have same SW.

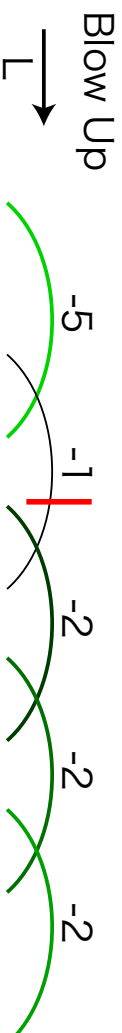
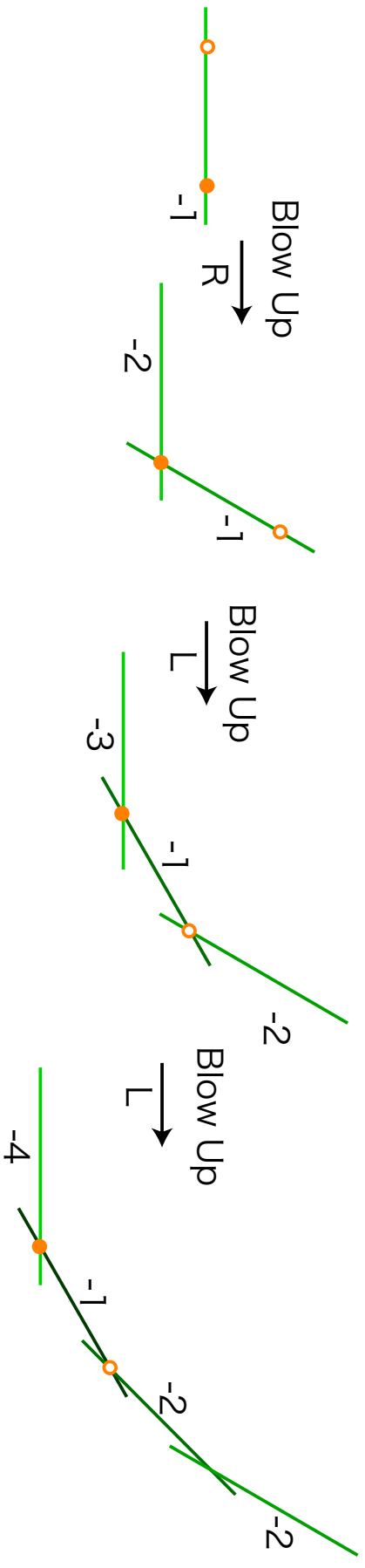
$$(M^4 \setminus C_{p,q}) \cup X_{p,q} \approx (M^4 \setminus C_{p,q}) \cup B_{p,q}$$

$$SW((M^4 \setminus C_{p,q}) \cup X_{p,q}) = SW((M^4 \setminus C_{p,q}) \cup B_{p,q})$$

(by [Michalogiorgaki]).

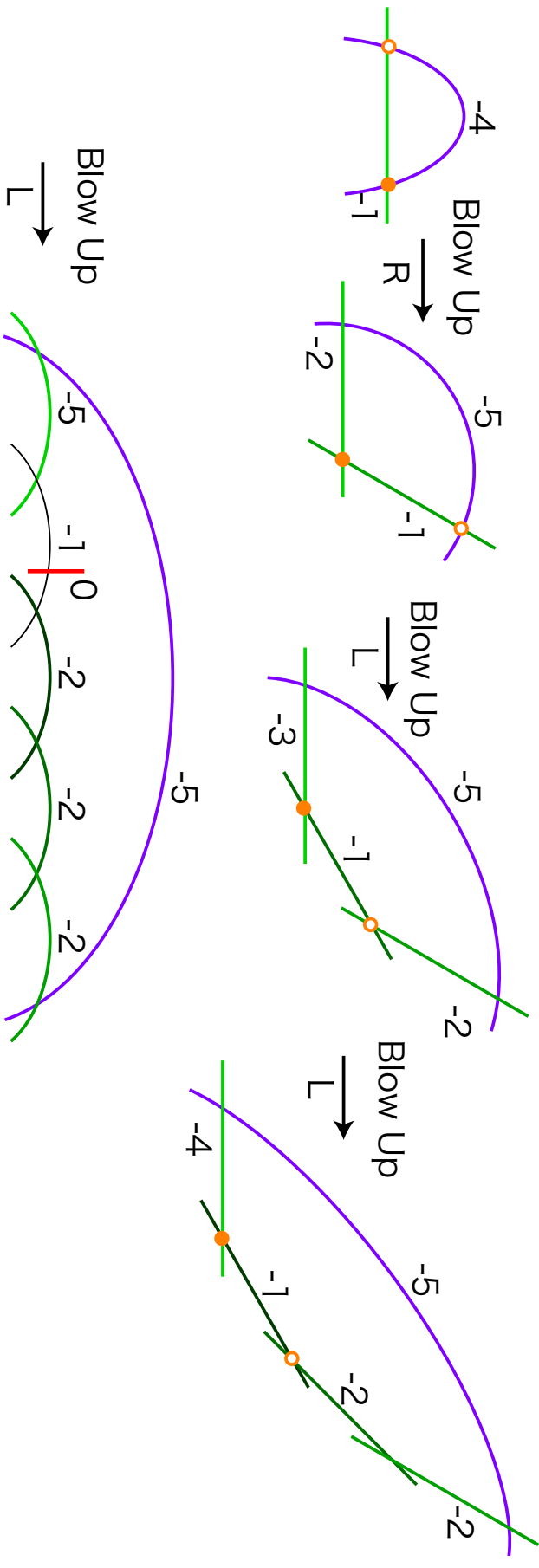
[BASE] “Unknot  $\mathcal{T}(4, 5)$  by twist” = “Resolution of  $z^5 - w^4 = 0$ ”.

$$(4, 5) \rightarrow R (4, 1) \rightarrow L (3, 1) \rightarrow L (2, 1) \rightarrow L (1, 1)$$



**Proof.** Link  $T(4, 5) \cup U$  consists of  $T(4, 5)$  and a component (violet).

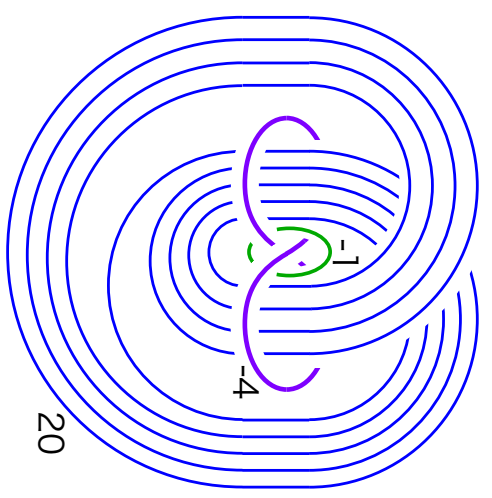
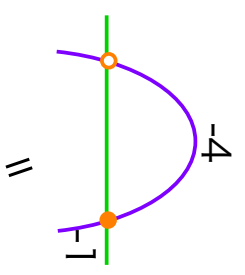
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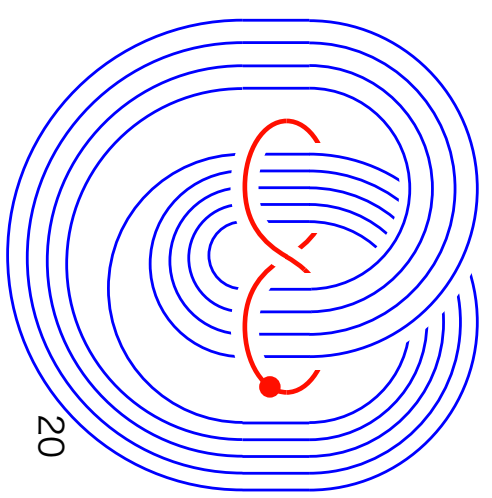
$$[5, 5, 2, 2, 2] = \frac{81}{17}$$

$$\partial X_{g,2} = L(81, 17). \quad (81 = 9^2 \quad 17 = 9 \times 2 - 1)$$

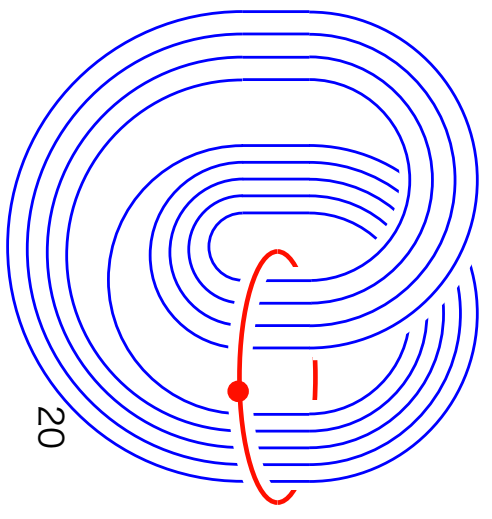
Here, we use Kirby Calc. including surgery also  
 surgery = “ remove  $S^2 \times D^2$  paste  $D^3 \times S^1$  ”



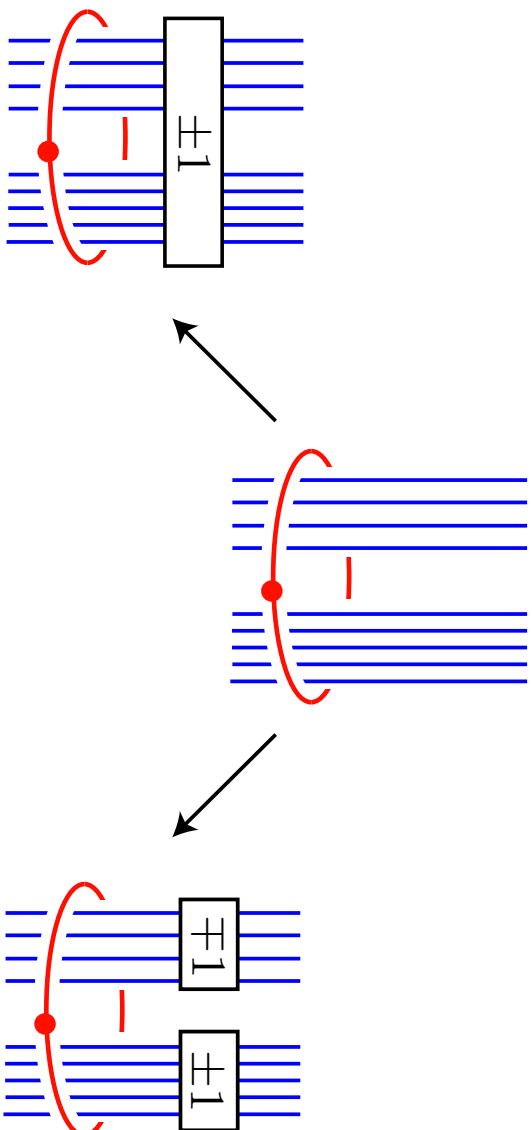
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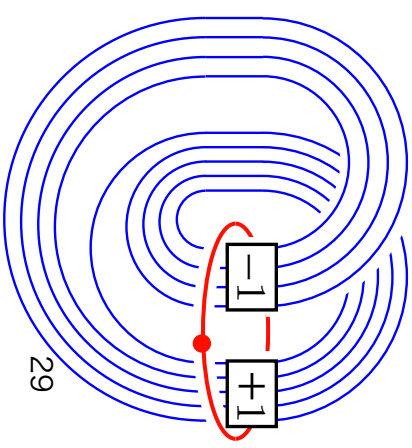
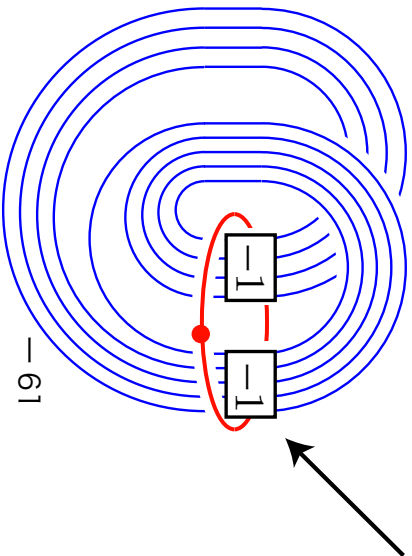
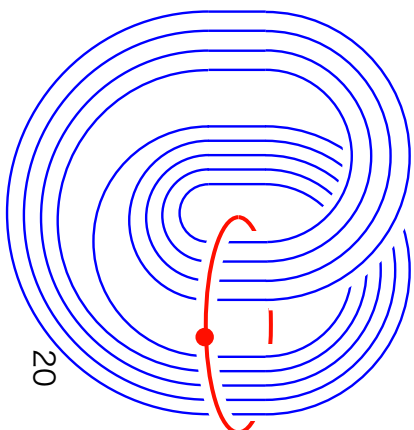
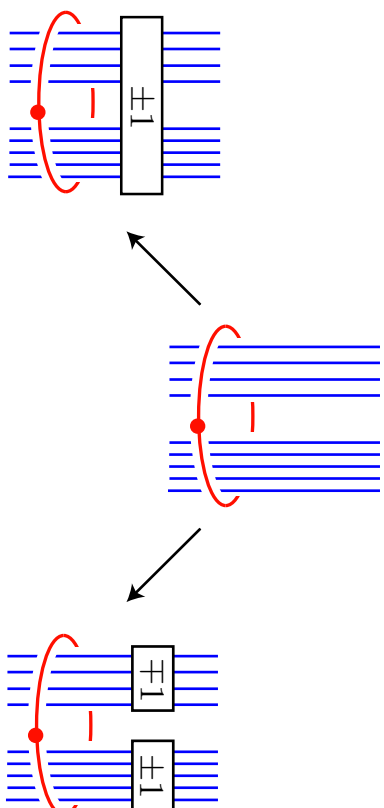


A formula on 1-handle. (not so famous)  
 $\sim$  *changing of identification of  $S^1 \times D^3$  with  $h^0 \cup h^1$ .*





Using the formula,  $\mathcal{T}(a, b)$  component becomes



**Type 7** :  $k^+(a, b)!$   
 $ab - (a + b)^2$

**Type 8** :  $k^-(a, b)$   
 $ab - a^2 + b^2$

## Final Remarks

Dehn surgery on the link  $X_{p,q}$

- (1) Prof. T. Kadokami checked that

$$\partial X_{5,2} \cong \pm L(25, 9)$$

by Alexander poly.-Reidemeister Tor. by using his method.

Prof. N. Maruyama also checked it by her formula on

**Casson-Walker's inv.**      $\lambda_{CW}(L(p^2, pq - 1)) = \frac{p^2 - 1}{6p^2}$

Why does not it depend on  $q$ ?

- (2) Prof.s [K. Ichihara](#) & [T. Saito](#) pointed out that the “mechanisim” for  $X_{p,q}$  to yield lens space seems “non-generic” in his sense.

(It seems to be essence of Type 7,8.)

*Thank you very much!*